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| <p>FORM PTO-1449</p> <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use several sheets if necessary)</p> | <p>ATTY. DOCKET NO. I-2-0091.7US</p> | <p>SERIAL NO. 09/742,578</p> |
| | <p>APPLICANT Ozluturk et al.</p> | |
| | <p>FILING DATE December 21, 2000</p> | <p>GROUP 2419</p> |

| U.S. PATENT DOCUMENTS | | | | | | | |
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| | | 4,811,262 | 03/1989 | White | | | |
| | | 4,811,421 | 03/1989 | Havel et al. | | | |

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| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | 4,876,554 | 10/1989 | Tubbs | | | |
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| | 4,901,307 | 02/1990 | Gilhausen et al. | | | |
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| | 5,081,643 | 01/1992 | Schilling | | | |
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| | 5,099,204 | 03/1992 | Wheatley, III | | | |
| | 5,101,416 | 03/1992 | Fenton et al. | | | |
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| | 5,105,423 | 04/1992 | Tanaka et al. | | | |
| | 5,107,225 | 04/1992 | Wheatley, III et al. | | | |
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| | 5,109,390 | 04/1992 | Gilhausen et al. | | | |
| | 5,113,525 | 05/1992 | Andoh | | | |
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| | 5,115,429 | 05/1992 | Hluchyj et al. | | | |
| | 5,117,385 | 05/1992 | Gee | | | |
| | 5,126,748 | 06/1992 | Ames et al. | | | |
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| | 5,140,613 | 08/1992 | Birgenheier et al. | | | |
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| | 5,159,283 | 10/1992 | Jensen | | | |
| | 5,159,551 | 10/1992 | Brunnett et al. | | | |
| | 5,161,168 | 11/1992 | Schilling | | | |
| | 5,166,929 | 11/1992 | Lo | | | |
| | 5,166,951 | 11/1992 | Schilling | | | |
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| | 5,179,571 | 01/1993 | Schilling | | | |
| | 5,179,572 | 01/1993 | Schilling | | | |
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| | 5,199,061 | 03/1993 | Kim | | | |
| | 5,204,876 | 04/1993 | Bruckert et al. | | | |
| | 5,210,771 | 05/1993 | Schaeffer et al. | | | |
| | 5,216,692 | 06/1993 | Ling | | | |
| | 5,224,120 | 06/1993 | Schilling | | | |
| | 5,228,053 | 07/1993 | Miller et al. | | | |
| | 5,228,054 | 07/1993 | Rueth et al. | | | |
| | 5,228,056 | 07/1993 | Schilling | | | |
| | 5,233,630 | 08/1993 | Wolf | | | |
| | 5,235,614 | 08/1993 | Bruckert et al. | | | |
| | 5,237,586 | 08/1993 | Bottomley | | | |
| | 5,239,685 | 08/1993 | Moe et al. | | | |
| | 5,241,690 | 08/1993 | Larsson et al. | | | |
| | 5,245,629 | 09/1993 | Hall | | | |
| | 5,253,268 | 10/1993 | Omura et al. | | | |
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|---------------------|-----------------|---------|----------------------------|-------|----------|-------------------------------|
| | 5,253,347 | 10/1993 | Bagnoli et al. | | | |
| | 5,257,283 | 10/1993 | Gilhausen et al. | | | |
| | 5,258,940 | 11/1993 | Coker et al. | | | |
| | 5,260,967 | 11/1993 | Schilling | | | |
| | 5,262,974 | 11/1993 | Hausman et al. | | | |
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| | 5,265,119 | 11/1993 | Gilhausen et al. | | | |
| | 5,267,261 | 11/1993 | Blakeney, III et al. | | | |
| | 5,267,262 | 11/1993 | Wheatley, III | | | |
| | 5,274,474 | 12/1993 | Medina | | | |
| | 5,274,665 | 12/1993 | Schilling | | | |
| | 5,276,261 | 01/1994 | Mayer et al. | | | |
| | 5,276,684 | 01/1994 | Pearson | | | |
| | 5,276,907 | 01/1994 | Meidan | | | |
| | 5,280,472 | 01/1994 | Gilhausen et al. | | | |
| | 5,283,536 | 02/1994 | Wheatley, III et al. | | | |
| | 5,287,299 | 02/1994 | Lin | | | |
| | 5,287,463 | 02/1994 | Frame et al. | | | |
| | 5,289,527 | 02/1994 | Tiedemann, Jr. | | | |
| | 5,291,515 | 03/1994 | Uchida et al. | | | |
| | 5,293,641 | 03/1994 | Kallin et al. ⁵ | | | |
| | 5,295,152 | 03/1994 | Gudmundson et al. | | | |
| | 5,295,153 | 03/1994 | Gudmundson | | | |
| | 5,297,161 | 03/1994 | Ling | | | |
| | 5,297,162 | 03/1994 | Lee et al. | | | |
| | 5,299,226 | 03/1994 | Schilling | | | |
| | 5,299,228 | 03/1994 | Hall | | | |
| | 5,305,349 | 04/1994 | Dent | | | |
| | 5,307,405 | 04/1994 | Sih | | | |
| | 5,309,474 | 05/1994 | Gilhausen et al. | | | |
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|---------------------|--|-----------------|---------|--------------------|-------|----------|-------------------------------|
| | | 5,311,459 | 05/1994 | D'Luna et al. | | | |
| | | 5,316,422 | 05/1994 | Coffman | | | |
| | | 5,321,721 | 06/1994 | Yamaura et al. | | | |
| | | 5,327,455 | 07/1994 | De Gaudenzi et al. | | | |
| | | 5,327,467 | 07/1994 | De Gaudenzi et al. | | | |
| | | 5,329,547 | 07/1994 | Ling | | | |
| | | 5,337,338 | 08/1994 | Sutton et al. | | | |
| | | 5,339,046 | 08/1994 | Kornfeld et al. | | | |
| | | 5,339,174 | 08/1994 | Harris | | | |
| | | 5,341,396 | 08/1994 | Higgins et al. | | | |
| | | 5,341,397 | 08/1994 | Gudmundson | | | |
| | | 5,341,427 | 08/1994 | Hardy et al. | | | |
| | | 5,341,456 | 08/1994 | DeJaco | | | |
| | | 5,343,335 | 08/1994 | Hara | | | |
| | | 5,345,467 | 09/1994 | Lomp et al. | | | |
| | | 5,345,596 | 09/1994 | Buchenhomer et al. | | | |
| | | 5,345,598 | 09/1994 | Dent | | | |
| | | 5,347,536 | 09/1994 | Meehan | | | |
| | | 5,349,606 | 09/1994 | Lovell et al. | | | |
| | | 5,351,134 | 09/1994 | Yaguchi et al. | | | |
| | | 5,351,269 | 09/1994 | Schilling | | | |
| | | 5,353,302 | 10/1994 | Bi | | | |
| | | 5,353,352 | 10/1994 | Dent et al. | | | |
| | | 5,355,453 | 10/1994 | Row et al. | | | |
| | | 5,361,276 | 11/1994 | Subramanian | | | |
| | | 5,363,377 | 11/1994 | Sharpe | | | |
| | | 5,363,403 | 11/1994 | Schilling et al. | | | |
| | | 5,365,544 | 11/1994 | Schilling | | | |
| | | 5,365,585 | 11/1994 | Puhl et al. | | | |
| | | 5,367,533 | 11/1994 | Schilling | | | |
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|---------------------|-----------------|---------|--------------------------------------|-------|----------|-------------------------------|
| | 5,373,259 | 12/1994 | Hackman et al. | | | |
| | 5,373,502 | 12/1994 | Turban | | | |
| | 5,377,183 | 12/1994 | Dent | | | |
| | 5,377,223 | 12/1994 | Schilling | | | |
| | 5,379,242 | 01/1995 | Rose et al. | | | |
| | 5,383,219 | 01/1995 | Wheatley, III et al. | | | |
| | 5,386,589 | 01/1995 | Kanai * corresponds to JP H05-244056 | | | |
| | 5,390,207 | 02/1995 | Fenton et al. | | | |
| | 5,392,287 | 02/1995 | Tiedemann, Jr. et al. | | | |
| | 5,396,516 | 03/1995 | Padovani et al. | | | |
| | 5,396,539 | 03/1995 | Slekys et al. | | | |
| | 5,398,243 | 03/1995 | Aguilhon et al. | | | |
| | 5,404,376 | 04/1995 | Dent | | | |
| | 5,406,559 | 04/1995 | Edem et al. | | | |
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| | 5,408,697 | 04/1995 | Price et al. | | | |
| | 5,410,568 | 04/1995 | Schilling | | | |
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| | 5,414,729 | 05/1995 | Fenton | | | |
| | 5,414,732 | 05/1995 | Kaufmann | | | |
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| | 5,420,896 | 05/1995 | Schilling | | | |
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| | 5,430,724 | 07/1995 | Fall et al. | | | |
| | 5,430,760 | 07/1995 | Dent | | | |
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|---------------------|-----------------|---------|-------------------------------------|-------|----------|-------------------------------|
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| | 5,442,625 | 08/1995 | Gitlin et al. | | | |
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| | 5,448,600 | 09/1995 | Lucas | | | |
| | 5,454,026 | 09/1995 | Tanaka | | | |
| | 5,459,758 | 10/1995 | Moore | | | |
| | 5,459,759 | 10/1995 | Schilling | | | |
| | 5,461,639 | 10/1995 | Wheatley, III, et al. | | | |
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| | 5,483,549 | 01/1996 | Weinberg et al. | | | |
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| | 5,487,089 | 01/1996 | Misaizu et al. | | | |
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| | 5,506,864 | 04/1996 | Schilling | | | |
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| | 5,535,238 | 07/1996 | Schilling et al. | | | |
| | 5,535,239 | 07/1996 | Padovani et al. | | | |
| | 5,535,278 | 07/1996 | Cahn et al. | | | |
| | 5,541,606 | 07/1996 | Lennen | | | |
| | 5,544,156 | 08/1996 | Teder et al. | | | |
| | 5,544,196 | 08/1996 | Tiedemann, Jr. et al. | | | |
| | 5,546,424 | 08/1996 | Miyake | | | |
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| | | 5,548,613 | 08/1996 | Kaku et al. | | | |
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| | | 5,559,790 | 09/1996 | Yano et al. | | | |
| | | 5,561,669 | 10/1996 | Lenney et al. | | | |
| | | 5,563,907 | 10/1996 | Lomp | | | |
| | | 5,563,912 | 10/1996 | Yasunaga et al. | | | |
| | | 5,566,165 | 10/1996 | Sawahashi et al. ¹ | | | |
| | | 5,566,201 | 10/1996 | Ostman | | | |
| | | 5,568,472 | 10/1996 | Umeda et al. | | | |
| | | 5,568,483 | 10/1996 | Padovani et al. | | | |
| | | 5,570,349 | 10/1996 | Bustamante et al. | | | |
| | | 5,570,353 | 10/1996 | Keskitalo et al. | | | |
| | | 5,572,516 | 11/1996 | Miya et al. | | | |
| | | 5,574,754 | 11/1996 | Kurihara et al. | | | |
| | | 5,574,775 | 11/1996 | Miller, II et al. | | | |
| | | 5,574,983 | 11/1996 | Douzono et al. | | | |
| | | 5,579,374 | 11/1996 | Doi et al. | | | |
| | | 5,581,547 | 12/1996 | Umeda et al. | | | |
| | | 5,582,851 | 12/1996 | Hofstetter et al. | | | |
| | | 5,586,113 | 12/1996 | Adachi et al. | | | |
| | | 5,590,409 | 12/1996 | Sawahashi et al. | | | |
| | | 5,594,718 | 01/1997 | Weaver, Jr. et al. | | | |
| | | 5,596,570 | 01/1997 | Soliman | | | |
| | | 5,602,833 | 02/1997 | Zehavi | | | |
| | | 5,603,096 | 02/1997 | Gilhouzen et al. | | | |
| | | 5,604,730 | 02/1997 | Tiedemann, Jr. | | | |
| | | 5,610,940 | 03/1997 | Durrant et al. | | | |
| | | 5,613,228 | 03/1997 | Tuttle et al. | | | |
| | | 5,614,914 | 03/1997 | Bolgiano et al. | | | |
| | | 5,619,524 | 04/1997 | Ling et al. | | | |
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|---------------------|-----------------|---------|--------------------------|-------|----------|-------------------------------|
| | 5,619,526 | 04/1997 | Kim et al. | | | |
| | 5,621,416 | 04/1997 | Lennen | | | |
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| | 5,627,835 | 05/1997 | Witter | | | |
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| | <p>APPLICANT Ozluturk et al.</p> | |
| | <p>FILING DATE December 21, 2000</p> | <p>GROUP 2419</p> |

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----------------|---------|---------------------|-------|----------|-------------------------------|
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| | <p align="center">APPLICANT Ozluturk et al.</p> | |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | | 20060088134 | 04/2006 | Gilhousen et al. | | | |
| | | 20060098759 | 05/2006 | Tiedemann, Jr. et al. | | | |
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| | 20070002934 | 01/2007 | Schilling | | | |

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|--------------------------|-----------------|---------|---------|-------|----------|-------------|----|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | YES | NO |
| | 3743731 | 07/1989 | DE | | | X** | |
| | 3743732 | 07/1989 | DE | | | X** | |
| | 0022170 | 01/1981 | EP | | | | |
| | 0372350 | 06/1990 | EP | | | | |
| | 0462572 | 12/1991 | EP | | | | |
| | 0464839 | 01/1992 | EP | | | | |
| | 0476215 | 03/1992 | EP | | | | |
| | 0505341 | 09/1992 | EP | | | | |
| | 0515335 | 05/1992 | EP | | | | |
| | 0525860 | 02/1993 | EP | | | | |
| | 0526106 | 02/1993 | EP | | | | |
| | 0565507 | 10/1993 | EP | | | | |
| | 0615395 | 09/1994 | EP | | | | |
| | 0631397 | 12/1994 | EP | | | | |
| | 0637179 | 02/1995 | EP | | | | |
| | 0654913 | 05/1995 | EP | | | | |
| | 0656716 | 06/1995 | EP | | | | |
| | 0668662 | 08/1995 | EP | | | | |
| | 0682423 | 11/1995 | EP | | | | |

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|---------------------|--|-----------------|---------|-----------------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | | 0744876 | 11/1996 | EP | | | | |
| | | 1933470 | 06/2008 | EP ⁸ | | | | |
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| | | 2301746 | 12/1996 | GB | | | | |
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| | | H05-300077 | 11/1993 | JP | | | X** | |
| | | H02-287874 | 11/1990 | JP | | | | |
| | | H04-287593 | 10/1992 | JP | | | | |
| | | H06-276176 | 09/1994 | JP | | | X** | |
| | | H07-273600 | 10/1995 | JP | | | | |
| | | 06-268574 | 09/1994 | JP | | | X** | |
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| | | 5-227124 | 09/1993 | JP | | | X** | |
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| | | H01-124730 | 08/1989 | JP | | | | |
| | | H06-120865 | 04/1994 | JP | | | X** | |
| | | H07-107007 | 04/1995 | JP | | | X** | |
| | | H06-104829 | 04/1994 | JP | | | | |
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|---------------------|--|-----------------|---------|----------------------------------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | | H05-083381 | 04/1993 | JP | | | | |
| | | H07-079477 | 03/1995 | JP | | | X** | |
| | | H07-058665 | 03/1995 | JP | | | | |
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| | | H07-095151 | 04/1995 | JP * corresponds to US 5,487,180 | | | X | |
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| | | 9512257 | 05/1995 | WO | | | | |
| | | 9515038 | 06/1995 | WO | | | | |
| | | 9702665 | 01/1997 | WO | | | | |
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| | | | | | | | YES | NO |
| | | 9013942 | 11/1990 | WO *equivalent to US 5,027,306 | | | X | |
| | | 9200639 | 01/1992 | WO ³ | | | | |
| | | 9309626 | 05/1993 | WO ⁷ | | | | |

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| | ALAVI, Power Control and Interference Management in a Spread-Spectrum Cellular Mobile Radio System, (1984) (unpublished Ph.D. thesis - Michigan State University Department of Electrical Engineering and Systems Science) (on file with the Michigan State University Libraries). |
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| | ASSOCIATION OF RADIO INDUSTRIES AND BUSINESSES (ARIB), <u>Specifications of Air-Interface for 3G Mobile System</u> , Volume 3, ver. 1.0, (January 14, 1999) |
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| EXAMINER INITIAL | DESCRIPTION (Including Author, Title, Date, Pertinent Pages, Etc.) |
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